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Epidemiological Typing of *Serratia marcescens* Isolates by Whole-Genome Multilocus Sequence Typing

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1 EPIDEMIOLOGICAL TYPING OF *SERRATIA MARCESCENS* 2 BY WHOLE GENOME MULTI-LOCUS SEQUENCE TYPING

3
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30 Key words: *Serratia marcescens* – outbreak management – BioNumerics™ –
31 neonatal intensive care – molecular typing - whole genome sequencing (WGS) –
32 whole genome Multi Locus Sequence Typing (wgMLST).

34 **ABSTRACT**

35 *Serratia marcescens* is an opportunistic bacterial pathogen. It is notorious for its
36 increasing antimicrobial resistance and its potential to cause outbreaks of
37 colonization and infections predominantly in neonatal intensive care units (NICUs).
38 There, its spread requires rapid infection control response. In order to understand its
39 spread, detailed molecular typing is key. We present a whole genome multi-locus
40 sequence typing (wgMLST) method for *S. marcescens*. Using a set of 299 publicly
41 available whole genome sequences (WGS) we developed an initial wgMLST system
42 consisting of 9377 gene loci. This included 1455 loci occurring in all reference
43 genomes and 7922 accessory loci. This closed system was validated using three
44 geographically diverse collections of *S. marcescens* consisting of 111 clinical
45 isolates implicated in nosocomial dissemination events in three hospitals. The
46 validation procedure showed a full match between epidemiological data and the
47 wgMLST analyses. We set the cut-off value for epidemiological (non-)relatedness at
48 20 different alleles, although for the majority of outbreak-clustered isolates this
49 difference was limited to 4 alleles. This shows that the wgMLST system for *S.*
50 *marcescens* provides prospects of successful future monitoring for the
51 epidemiological containment of this opportunistic pathogen.

52

INTRODUCTION

The new Gold Standard in microbial epidemiology is genome sequencing. The use of whole genome (draft) sequences (WGS) to compare bacterial isolates in detail, and to delineate their spread, is based on either the detection of single nucleotide variants or polymorphisms (SNVs and SNPs) or on the assessment of overall gene content including allelic differences between strains by whole genome multi-locus sequence typing (wgMLST) (1-4). Both methods have their advantages and disadvantages. Where SNP analysis may have a higher intrinsic discriminatory power (since it covers coding and non-coding regions) and better resolves the ancestral relationship between lineages, wgMLST usually provides a more stable, generically applicable system, with results that are easier to translate into relevant epidemiological differences between isolates. wgMLST schemes have been developed for a multitude of microbial organisms, with the main driver being the development of a universal “typing language” (5-7). This will facilitate the monitoring of local, institutional spread of certain pathogens but will also extend into regional, national, international, and possibly even global monitoring for the dissemination of given bacterial strain types (8-10). This will aid communication in international public health management and should in the end lead to early recognition of the emergence and spread of pathogenic microbial strains. Furthermore, this is of importance in the current era of multi-drug resistant bacteria and their global dispersal promoted by human travelling, international patient transfer, nosocomial transmission, and excessive use of antimicrobials.

Serratia marcescens is a bacterial pathogen for which no wgMLST scheme has been defined yet. *S. marcescens* is notorious for its pathogenicity in plants (11) but also in preterm neonates (12,13). Therefore, setting up a robust epidemiological wgMLST typing scheme is essential for monitoring and interrupting outbreaks in neonatal intensive care units (NICU) as well as other medical settings. In addition, *S. marcescens* is capable of efficiently acquiring multiple resistance determinants (that are unreliable epidemiological markers) which adds to its clinical relevance (14-18). We have developed a proprietary wgMLST toolbox for *S. marcescens* based on publicly available WGS data. We have validated the scheme using epidemiologically related isolates collected during recent outbreaks of colonization and infection in NICUs in both Dutch and German teaching hospitals.

86

87 **MATERIALS AND METHODS**

88 **Strains:** Clinical *S. marcescens* isolates were obtained from three different
89 institutions in Groningen (The Netherlands; n=41), Cologne (Germany; n=19) and
90 Freiburg (Germany; n=51), respectively.

91 The 41 isolates from the University Medical Center Groningen were obtained
92 between 2014 and 2017 from 38 patients of which 4 were adults in non-pediatric
93 wards (2 in cardiology, 1 in orthopedics and 1 in obstetrics), 2 were from children >
94 12 year in the pediatric ICU (PICU), 1 from a child > 18 months and the others from
95 children < 6 months either on the pediatric special care unit (n=1), the pediatric
96 general surgery ward (n=2), the PICU (n=2), or the NICU (n=26). From three patients
97 two isolates were sequenced. In one case, in addition to a positive culture from a
98 rectal swab of the patient also an isolate was cultured from the intravenous line, but
99 this isolate appeared to be a *S. liquefaciens*, originally misidentified as *S.*
100 *marcescens* by conventional diagnostic methods. All other isolates were cultured
101 from patients in the NICU using growth-based microbiology technology (see Figure 1
102 for additional details on strain origin). The 19 isolates from Cologne were isolated
103 between 2014 and 2017 and all originate from NICUs, PICUs and general wards.
104 The age of the patients varied between 4 days and 11 months. The collection of
105 isolates consisted of 5 epidemiologically related transmission clusters and 2
106 singleton isolates (see Figure 2 for additional details). The 51 isolates from Freiburg
107 mostly originated from the local NICU (n=39) with patient age varying between 0 and
108 12 weeks. Seven environmental isolates were included for comparative reasons and
109 to gauge the relevance of environmental spread. For several patients (A to H, n=8)
110 multiple isolates were included in order to define basic levels of intra-patient
111 variability of *S. marcescens* (see Figure 3 for additional details).

112 Isolates were either directly processed or stored at -80°C in glycerol-containing
113 media until culture for DNA isolation and genome sequencing. In addition to the
114 WGS data, clinical and epidemiological data were included. Metadata included, but
115 were not limited to, isolation dates, outbreak associations, patients' gender and age,
116 type (and outcome) of infections, specimen types submitted for microbiological
117 analyses, location of the ward and whether local typing data obtained previously
118 were available.

119 **DNA isolation:** DNA was extracted using the Ultraclean Microbial DNA isolation kit
120 (MoBio Laboratories, Carlsbad, CA, USA) or the MagAttract HMW DNA Isolation kit,
121 in both cases following the manufacturer's instructions (Qiagen, Hilden, Germany)
122 and quantified using a NanoDrop 2000c spectrophotometer (Thermo Fisher
123 Scientific Inc, Waltham, MA, USA) and/or the Qubit dsDNA HS assay (Thermo
124 Fisher Scientific GmbH, Schwerte, Germany).

125 **Genome sequencing:** DNA libraries were prepared using the Nextera XT library
126 preparation kit and the Nextera XT v2 index kit (Illumina, San Diego, CA, USA). The
127 library was sequenced on a MiSeq, using the reagent kit v2 generating 250-bp
128 paired-end reads. Supplementary Tables 1A to 1C disclose the quality parameters
129 for the sequences determined. All WGS included met with the required quality
130 criteria and all primary sequences were deposited in the public domain (ENA project
131 numbers PRJEB28358 and PRJEB28681).

132 **Development of the wgMLST scheme:** A scheme for wgMLST of *S. marcescens*
133 was developed using publicly available WGS data for this species (June 2017), and
134 will be made commercially available through a plugin in BioNumerics™ (Applied
135 Maths NV, St-Martens-Latem, Belgium). The scheme is intended to facilitate
136 detection of subtype- or outbreak-specific markers. Using a selection of 299
137 annotated, publicly available reference genomes which were assumed to capture the
138 diversity within *S. marcescens*, a pan-genomic scheme with high discriminatory
139 power was developed (see Supplementary Table 2 for a list of all WGS included).
140 Starting from the reference genomes, our scheme creation procedure uses a
141 sampling-based multi-reciprocal BLAST procedure to determine those sets of alleles
142 that make up the stable loci in the pan-genome. A per-locus allele assessment
143 procedure then determines the central prototype allele, and thus the definition of the
144 locus. The wgMLST scheme for *S. marcescens* was tested, validated and approved
145 by epidemiological and microbiological analyses using information on the strain
146 collections from Groningen, Cologne and Freiburg.

147 **Bioinformatic analyses:** De novo genome assembly for all WGS was performed
148 using SPAdes 3.7.1. All de novo calculations were run on the cloud-based
149 calculation engine that comes with BioNumerics™ 7.6.3. wgMLST analysis was also
150 performed using the BioNumerics™ cloud-based calculation engine. Alleles were
151 identified by both an assembly-free k-mer based approach using the raw reads and

152 an assembly-based BLAST approach. Identification was done against the *S.*
153 *marcescens* wgMLST database in BioNumerics™. Categorical coefficients were
154 used for defining similarity levels and Unweighted Pair Group Method with Arithmetic
155 Mean (UPGMA) was used as clustering algorithm. Minimum spanning trees (MST)
156 were constructed using the wgMLST allelic profiles as input data. The size of the
157 nodes was chosen proportional to the number of isolates in the nodes (i.e. isolates
158 with the same allelic profiles). Branch lengths reflect the number of allele differences
159 between the isolates in the connected nodes.

160

161 RESULTS

162 **A new system for wgMLST for *S. marcescens*:** In total, 299 reference genome
163 sequences were included while building the wgMLST scheme. These displayed a
164 conformity between 85% and 97% after constructing the scheme and showed an
165 average of 95% global coverage of the included loci. The scheme was validated in
166 August 2017 on the basis of 373 sequence read archives (SRA), which included all
167 Illumina data sets publicly available as of 28 August 2017. In this way, a total of
168 9,377 loci were added to the scheme, including 1455 loci which were present in all
169 references and 7922 accessory loci. The wgMLST scheme had high discriminatory
170 power and allowed for the detection of markers specific for *S. marcescens* subtypes
171 or outbreak strains, thus enabling powerful classification and outbreak definition (see
172 Figure 4C). The two allele detection procedures (either assembly-based or
173 assembly-free) performed fast and reliable allele calling for cluster detection. Figure
174 4A indicates the diversity within the reference genome set, and provides an overview
175 of the number of clusters as function of the similarity cutoff value, indicating the
176 presence of both distant and highly related isolates in the reference set of 299
177 strains. Figure 4B depicts the number of pairwise allelic differences and the
178 frequency of their occurrence peaking at about 4000 allelic differences given the
179 current wgMLST scheme complexity. Figure 4C shows a global perspective of the
180 genomic diversity among the references used to build the wgMLST scheme, where
181 all circles identify distinct wgMLST types (as also semi-quantified by the number of
182 allelic differences quantified on the branches) and the colored blocks identify isolates
183 of more closely related and sometimes indistinguishable genomic sequences. This
184 confirms our assumption that the genome sequences obtained from the public

185 domain show significant levels of diversity allowing them to serve as reference of
186 genomic variability. Overall, the quality parameters indicate that the scheme covers
187 the diversity within the species and provides sufficient resolving power for
188 distinguishing even closely related bacterial isolates. Finally, it seems that the
189 population structure of *S. marcescens* is largely genetically diverse with many
190 singletons present. However, there seem to be indications for the successful
191 expansion of clones (colored circles, Figure 4C).

192 **Strain characteristics and outbreak features:** It has to be stated that only one
193 patient died as a consequence of *S. marcescens* colonization/infection. Also,
194 presence was mostly due to colonization and real infection was only apparent in a
195 limited number of cases (Groningen 9 of 38 patients (24%); Cologne 2/16 (13%);
196 Freiburg 6/23 (26%) (one sample of unknown origin)). Overall, 22% patients had an
197 infection.

198 **Groningen outbreak analyses:** Forty-one clinical isolates were obtained
199 from 38 patients in the University Medical Center Groningen (UMCG). The wgMLST
200 analysis detected a small cluster of related isolates: five isolates obtained from three
201 patients in May-June in 2015 (cluster 0003 in Figure 1). From one patient two
202 isolates from the rectal swab appeared to be 100% wgMLST identical and from the
203 other patient the isolate found in the blood was identical to the one found in the rectal
204 swab. In addition, a larger cluster was found containing isolates, all from different
205 patients, from a protracted outbreak in August-November 2014 (cluster 0005 in
206 Figure 1). The single invasive isolate that was isolated during this episode was
207 indistinguishable from the other isolates. In addition, four suspected cases of single
208 transmission events involving two patients were confirmed as well (clusters 0001,
209 0002, 0004, 0006 and 0007 in Figure 1). Hence, the clustering aligns very well with
210 the prior epidemiological scenarios. The 0002 cluster contained two separate
211 isolates from the same patient, showing the reproducibility of the method. All isolates
212 contained the aminoglycoside resistance-associated gene *aac(6')*-I-C and about half
213 of them contained the tetracycline resistance determinant Tet (41). A single multi-
214 resistant isolate was cultured from the synovial fluid of an elderly female nursed at
215 the orthopedics department. The origin of this strain is not clear.

216 **Cologne outbreak analyses:** wgMLST analysis of the 19 isolates from the
217 Cologne University hospital correctly defined the anticipated clustering and identified

218 two main outbreak clusters and three cases where inter-patient transfer was already
219 suspected (Cologne-1 to Cologne-5). The two singleton isolates were separated
220 from all of the other isolates. Figure 2 summarizes the overall data and sketches the
221 outbreak scenarios also showing that all related isolates were 100% identical at the
222 wgMLST level. One of the singleton isolates contained at least 8 different resistance
223 genes.

224 **Freiburg outbreak analyses:** The collection of isolates derived from the
225 laboratory in the Freiburg University hospital contained 47 out of 51 isolates that
226 were nearly indistinguishable by wgMLST (Figure 3, green boxes), indicating a local
227 outbreak which occurred in October and November 2015 involving 19 patients and 7
228 environmental isolates. Additionally, two isolates were identified (red boxes, Figure 3)
229 that were not distinguished by wgMLST, reflecting a single, known transmission
230 event of a different strain type outside the NICU. Most of the outbreak isolates were
231 considered to represent colonization rather than infection or bacteremia (16/19
232 patients). All serial isolates obtained from individual patients were identical at the
233 wgMLST level. Only in case of patients F and H small differences were documented
234 but within the boundaries of the epidemiological cut-off value. Finally, the
235 environmental isolates all fell within the same outbreak category.

236 **Minimum spanning trees:** Figure 5 displays the minimum spanning trees for
237 the three studies and there is good concordance with the UPGMA trees in Figures 1-
238 3. The number of allele differences ranged between 0 and 4 for the epidemiologically
239 defined strain clusters with two exceptions. There is only a single strain in the
240 Freiburg cluster that differs by 18 alleles from its counterparts. This suggests that a
241 cut-off value of <20 alleles would represent a conservative but useful estimate for
242 transmission-related isolates, also given the significantly higher genetic distance
243 between the non-related *S. marcescens* isolates. Figure 6 once more displays the
244 robustness of the wgMLST scheme since while including all WGS entries in the
245 database, still the strain clusters identified above remain unchanged.

246

247 DISCUSSION

248 *S. marcescens* is a nosocomial pathogen of clinical importance and both species
249 identification and antimicrobial susceptibility testing are well covered in routine
250 diagnostic clinical microbiology laboratories. However, epidemiological typing of *S.*

251 *marcescens* is less developed, and for this reason we developed a wgMLST scheme.
252 The system allowed for the adequate recognition of clonally related organisms and it
253 allowed for the detection of outbreak events. At the level of wgMLST the number of
254 changes between the most closely related isolates were less than twenty alleles
255 (given the time frame during which our outbreak related strains were captured),
256 although a significant fraction of the closely related genomes only differed by 0-4
257 alleles. This latter level of resolution does not allow for detailed epidemiological
258 tracing of spread from one patient to the other given the apparently low number of
259 changes associated with such transfers. We performed a limited number of wgSNP
260 analyses and, surprisingly, for the ten related isolates from Groningen, this did not
261 increase the resolution. The number of SNPs encountered between the ten isolates
262 ranged from zero to five, in the same range as the wgMLST variation and insufficient
263 to decipher transmission of strains between patients (data not shown). Of note, a
264 recent cgMLST study for *Brucella melitensis* revealed similar findings:
265 epidemiological cut off values for non-variance were defined as <6 loci for wgMLST
266 and <7 loci for wgSNP analyses, similar to what we document here for *S.*
267 *marcescens* (19).

268 Next generation sequencing (NGS) is becoming very popular in clinical microbiology
269 (20,21), but wgMLST for *S. marcescens* has not yet been described. WGS has been
270 used to study *S. marcescens* virulence after wound infections and infection of snake
271 bites (22). As well, WGS has been used to study the national dissemination of drug
272 resistance elements and plasmids in *S. marcescens* throughout Germany, although
273 only a limited number of isolates were subjected to NGS (16). The same authors
274 also demonstrated that *S. marcescens* genomes can be used to generate genomic
275 catalogues of antibiotic resistance genes. Relevant to our current clinical study is the
276 work done by Iguchi et al (23). These authors defined the WGS for two selected *S.*
277 *marcescens* strains. Their analysis revealed a degree of genetic heterogeneity that
278 our current study exploited. Iguchi et al (23) already tried to define core and variable
279 genes and used ways for defining genetic distance between *S. marcescens* isolates.
280 Most recently, Martineau and colleagues (24) used WGS to elucidate transmission
281 patterns in a NICU in Montreal, Canada. WGS for ten clinical isolates were
282 instrumental in resolving *S. marcescens* routes of spread in this setting. We were
283 able to confirm their data using our wgMLST scheme (results not shown). In the

284 examples brought forward by Martineau et al, a single outbreak was analyzed, where
285 we have now taken the method to a higher level including the development of a
286 dedicated wgMLST WGS database and an informatics tool for the semi-automated
287 analysis of potential outbreak scenarios. With turnaround calculation times of less
288 than 30 minutes per sample and simultaneous processing of up to 24 samples, high-
289 powered wgMLST performance is guaranteed. Using BioNumerics™ and a cloud-
290 based calculation engine, it provides a high-throughput environment that enables a
291 fast and simple outbreak analysis of WGS data for *S. marcescens*. The calculation
292 engine's quality-controlled *de novo* assembly possibilities allow for rapid, push-
293 button assembly of WGS data without the need of local computing power. In short,
294 even high resolution typing needs optimal epidemiological data and cannot stand on
295 its own. Although we here focus on patients in NICUs it should be emphasized that
296 genomic typing of *S. marcescens* will have wider implications as these bacteria infect
297 other risk groups as well (25,26). We acknowledge the fact that we are not disclosing
298 the precise methodology used for wgMLST scheme development since this module
299 will become available only in combination with BioNumerics™.

300 In conclusion, all laboratory-run typing methods, wgMLST included, are valuable in
301 the context of hospital-wide screening for pathogens but also for analyses of random
302 clinical isolates (27,28). wgMLST for *S. marcescens* has here been demonstrated to
303 be a promising epidemiological typing support tool. In combination with tools for
304 deciphering a genomic antibiogram and the presence of virulence genes, WGS by
305 NGS may help trace and follow outbreaks, understand the acquisition and spread of
306 resistance factors and explain the disease invoking potential for this not-to-be-
307 underestimated human pathogen.

308

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313 Markers (ESGEM), Basel, Switzerland.

314

315 **TRANSPARENCY DECLARATION**

Alex van Belkum, Jill Dombrecht, Diederik Vanfleteren and Katrien De Bruyne are employees of bioMérieux, a company designing, developing and selling infectious disease diagnostics and hence have a business implication in this work. John Rossen consults for IDbyDNA. All other authors declare no conflicts of interest and have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed. No external financial support was provided for the studies presented herein.

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436 intensive care unit was successfully managed by rapid hospital hygiene interventions
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438 439 440 LEGENDS TO THE FIGURES

441
442 **Figure 1** UPGMA tree of the pan-genomic allelic profiles (n=25) derived for *S.*
443 *marcescens* isolates from the University Medical Center Groningen, The Netherlands.
444 Outbreaks and transfer events identified prior to our study (0001-0007) are

445 highlighted by color, with relevant microbiological, host-associated and
446 environmental metadata displayed to the right. The UPGMA tree which was built
447 using a similarity coefficient based on categorical values expressed as a percentage.
448 Strain UMCG-029, located at the bottom of the tree, represents *S. liquefaciens*, a
449 species only sharing about 2900 loci with the *S. marcescens* wgMLST scheme, as
450 opposed to 4300 loci that are typically detected in *S. marcescens*.

451

452 **Figure 2** UPGMA tree of the pan-genomic allelic profiles (n=7) derived for *S.*
453 *marcescens* isolates from the Institute for Medical Microbiology, Immunology and
454 Hygiene at the University of Cologne, Germany. Outbreaks and transfer events
455 (Cologne-1 to Cologne-5) identified prior to our study are highlighted by color, with
456 relevant microbiological, host-associated and environmental metadata displayed to
457 the right. The UPGMA tree which was built using a similarity coefficient based on
458 categorical values expressed as a percentage. Isolates originating from inanimate
459 surfaces are highlighted in blue.

460

461 **Figure 3** UPGMA tree of the pan-genomic allelic profiles (n=4) derived for *S.*
462 *marcescens* isolates from the University Hospital of Freiburg, Germany. A single
463 major outbreak event generated all strains except four (red and non-boxed).
464 Relevant microbiological, host-associated and environmental metadata are displayed
465 to the right. The UPGMA tree was built using a similarity coefficient based on
466 categorical values expressed as a percentage. Note that in this case multiple
467 isolates were included for 8 different individuals. Isolates originating from inanimate
468 surfaces are highlighted in blue.

469

470 **Figure 4** Review of quality parameters for the *S. marcescens* specific whole genome
471 sequences used to construct the wgMLST reference database.

472 **Figure 4A** Correlation between number of clusters and similarity cutoff values
473 for the founding *S. marcescens* wgMLST database. The cluster index was
474 based on the average number of alleles being different between closely
475 related strain pairs. The analysis was performed using all WGS listed in
476 Supplementary Table 2.

477 **Figure 4B** Correlation between the numbers of pairwise allelic differences and
478 their frequency of occurrence.

479 **Figure 4C** Minimum spanning tree based on the pan-genomic allelic profiles
480 of 299 *S. marcescens* isolates, representing the reference set used to create
481 the wgMLST database. Colors highlight closely related isolates, numbers of
482 allelic differences are indicated on the lines connecting the various types.

483

484 **Figure 5** Minimum spanning trees for the *S. marcescens* isolates from Groningen,
485 Cologne and Freiburg built from the pan-genomic allelic profiles. Colors of the circles
486 identify the epidemiological clusters and cases of transmission. Figures on the axes
487 identify the numbers of allelic differences between the connected isolates. Circle size
488 is associate with the number of isolates per type. The figure implies that there are no
489 clusters extending across hospitals. Color codes are specific for the three different
490 panels and should not be compared between panels.

491

492 **Figure 6** Overall genomic population structure of *S. marcescens* based on a
493 combined analysis of our epidemiologically related isolates and the reference
494 genomes that were used to construct the wgMLST scheme. Note the extended
495 number of singletons and the occurrence of epidemic clones seemingly originating
496 from several of such singletons. Green bullets represent isolates from Groningen,
497 red ones the isolates from Cologne and blue ones identify the isolates from Freiburg.

498

499



Figure 2

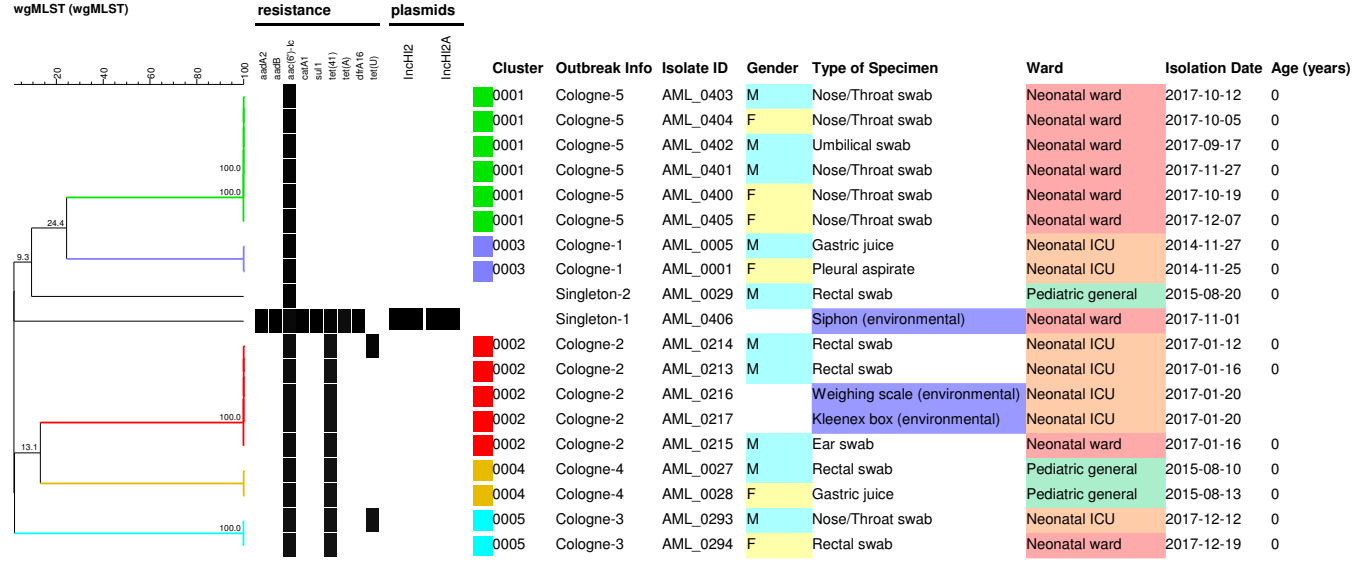


Figure 3

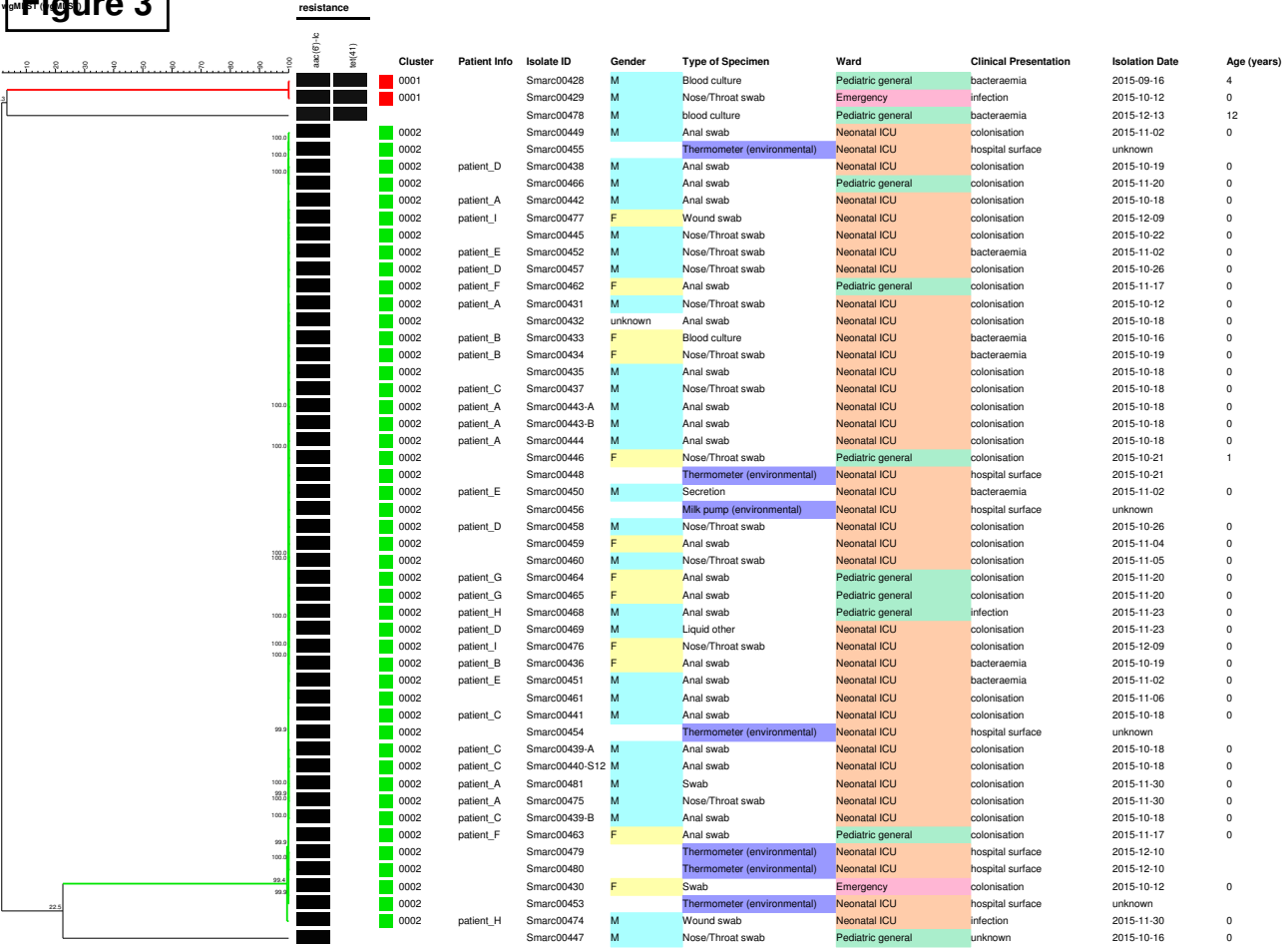


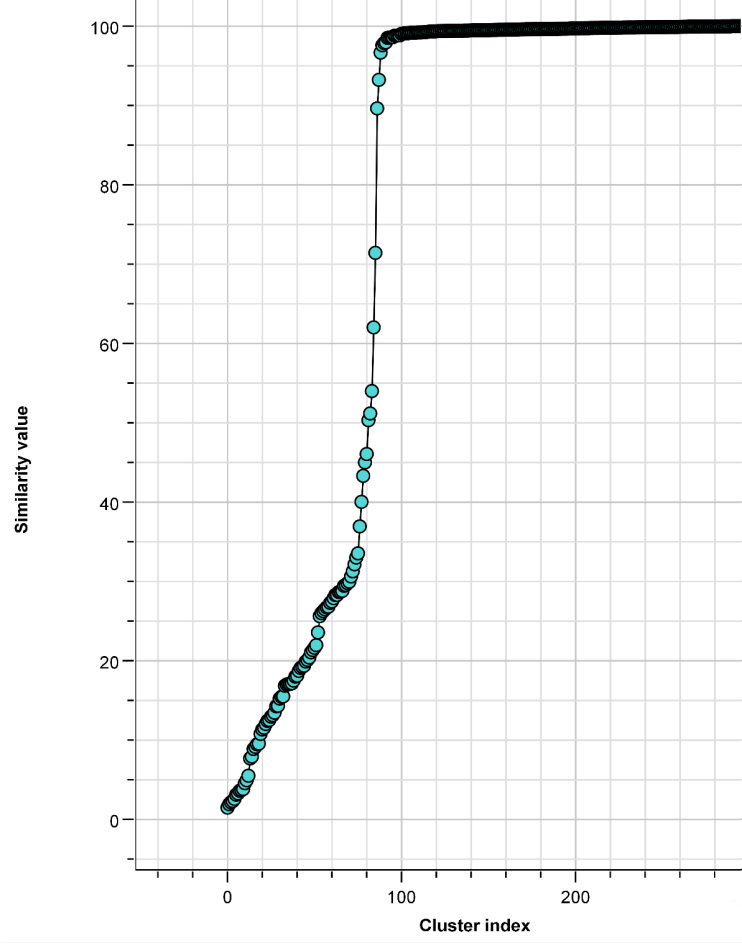
Figure 4A

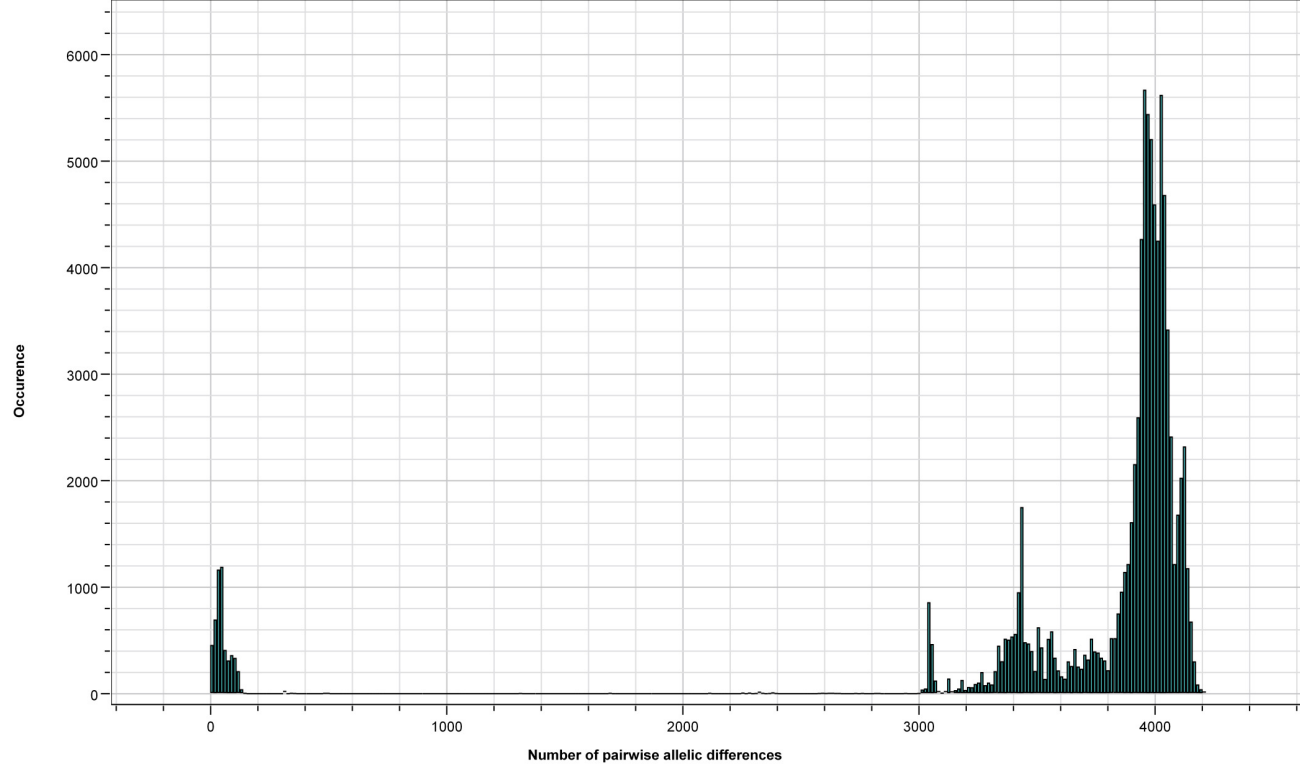
Figure 4B

Figure 4C

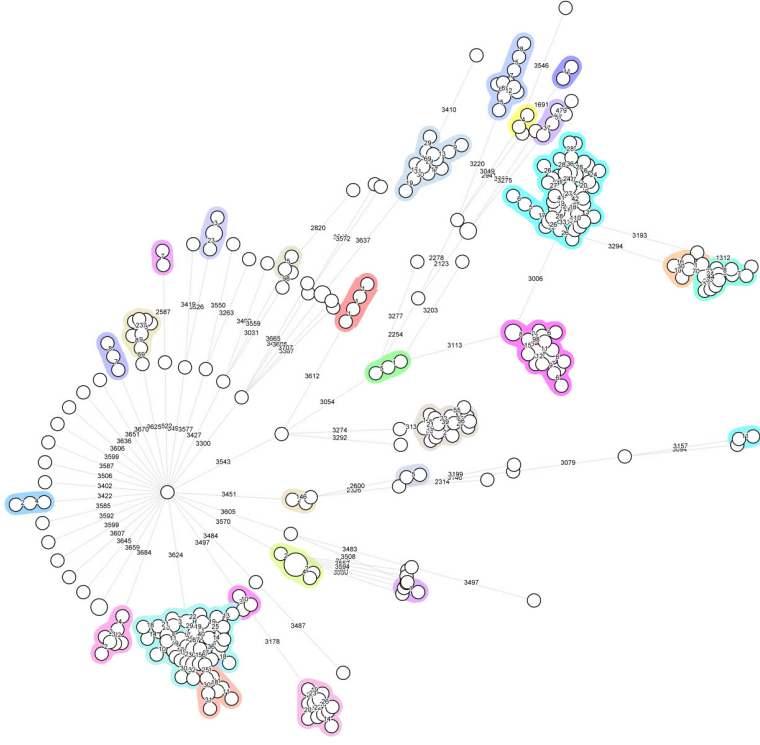


Figure 5

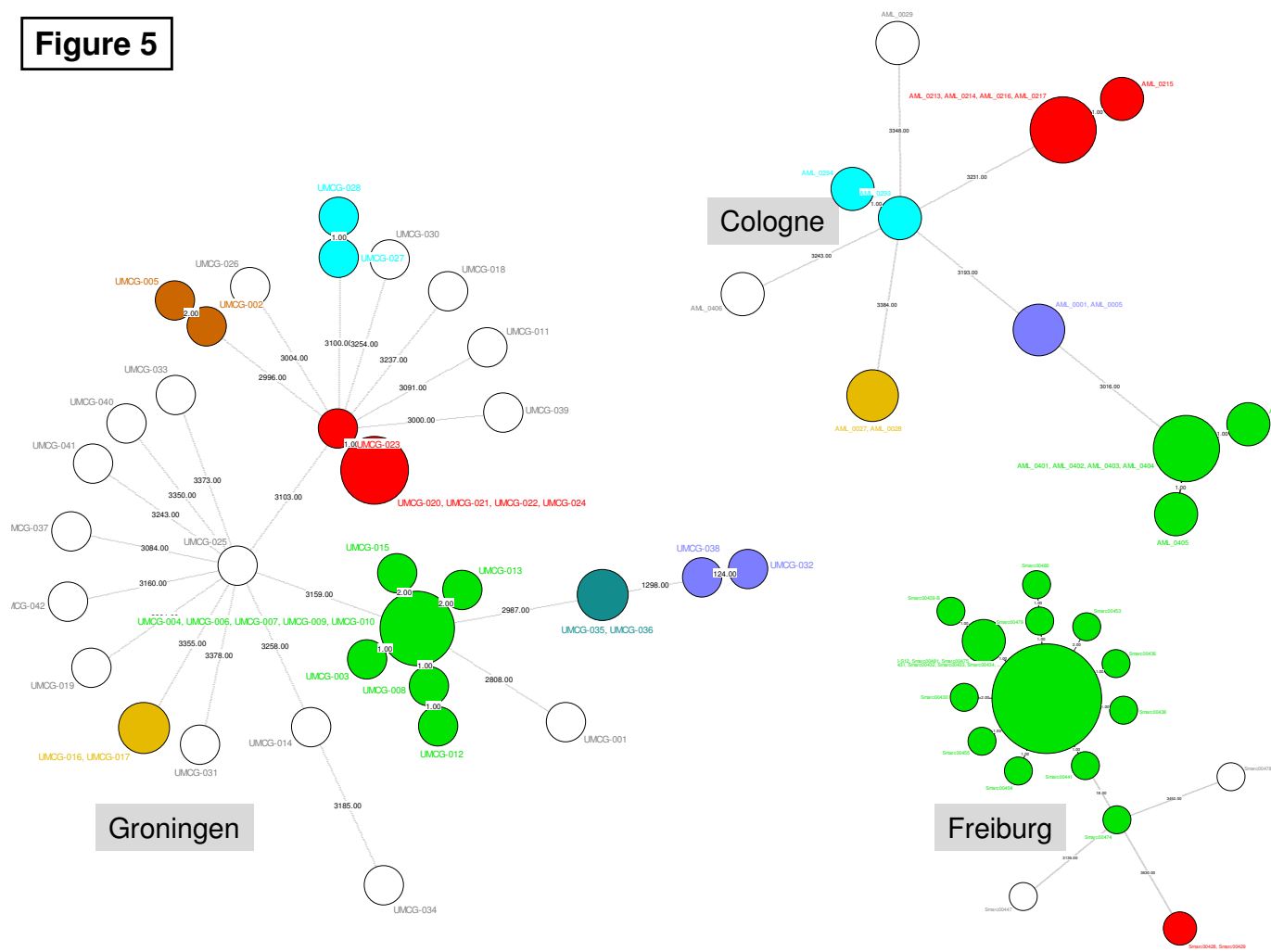


Figure 6

